

Planned Reports to Audit & Governance Committee (Draft)

	Report	Committee Date	Report of	Comments
1	Internal Audit annual & quarterly update	June	Head of Internal Audit	
2	Risk Management quarterly update	June	Head of Internal Audit	
3	Review of the effectiveness of Internal Control Environment	June	Head of Internal Audit	To include the review of the effectiveness of internal audit, compliance with PSIAS, roles of the CFO and HIAS
4	Counter Fraud update	June	Head of Internal Audit	
5	Role of the Audit Committee	June	Grant Thornton	Presentation/training
1	Draft Annual Statement of Accounts	June	Executive Director Corporate Services	
2	Annual Governance Statement & Code of Corporate Governance	June	Head of Internal Audit	
3	Review of the Constitution & Scheme of Delegation for Officers	June	Solicitor to the Council and Monitoring Officer	
4	Audit & Governance Committee Update	June	Grant Thornton	
5	Fee Letter	June	Grant Thornton	
6	RIPA Quarterly Report	June	Solicitor to the Council and Monitoring Officer	

	Report	Committee Date	Report of	Comments
1	Annual Statement of Accounts	September	Executive Director Corporate Services	
2	Audit Findings Report	September	Grant Thornton	
3	Internal Audit quarterly update	September	Head of Internal Audit	
4	Risk Management quarterly update	September	Head of Internal Audit	
6	Treasury Management Strategy Statement and Annual Investment Strategy Mid-year Review Report 2013/14	September	Executive Director Corporate Services	
7	RIPA Quarterly Report	September	Solicitor to the Council and Monitoring Officer	
8	Local Government Ombudsman's Annual Review and Report 2013/14	September	Solicitor to the Council and Monitoring Officer	
1	Annual Audit Letter 2013/14	October	Grant Thornton	
2	Internal Audit quarterly update	October	Head of Internal Audit	
3	Risk Management quarterly update	October	Head of Internal Audit	
4	Annual Governance Statement update	October	Head of Internal Audit	

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5	Members/Standards	October	Solicitor to the Council & Monitoring Officer	
6	Anti Money Laundering Policy	October	Solicitor to the Council & Monitoring Officer	
1	Audit Report on Certification Work 2013/14	January	Grant Thornton	
2	Audit Progress Report	January	Grant Thornton	
3	Internal Audit quarterly update	January	Head of Internal Audit	
4	Risk Management quarterly update	January	Head of Internal Audit	
5	Counter Fraud update	January	Head of Internal Audit	To include review of Counter Fraud Policy and Whistleblowing Policy
6	Review of Financial Guidance	January	Head of Internal Audit	
7	RIPA Quarterly Report	January	Solicitor to the Council and Monitoring Officer	
8	Treasury Management mid year monitoring report	January	Executive Director Corporate Services	
1	Final Accounts 2014/15 – Action Plan	March	Director of Finance	
2	Draft Audit Plan	March	Grant Thornton	
3	Draft Certification Work Plan	March	Grant Thornton	

	Report	Committee Date	Report of	Comments
4	Audit Committee Update	March	Grant Thornton	
5	Auditing Standards	March	Grant Thornton	
6	Internal Audit Charter and Audit Plan	March	Head of Internal Audit	
7	Audit & Governance Committee Self Assessment	March	Head of Internal Audit	
8	RIPA Quarterly Report	March	Solicitor to the Council and Monitoring Officer	
9	Treasury Management Strategy and Prudential Indicators	March	Executive Director Corporate Services	

Portfolio Holder CS - Portfolio Holder for Corporate Services & Assets